Electronic Aci	knowledgement Receipt
EFS ID:	6382110
Application Number:	10655409
International Application Number:	
Confirmation Number:	6575
Title of Invention:	Computer-implemented securities trading system with a virtual specialist function
First Named Inventor/Applicant Name:	Timothy M. Keiser
Customer Number:	63710
Filer:	Glen Farbanish/LAURA ALTO
First Named Inventor/Applicant Name: Timothy N  Customer Number: 63710  Filer: Glen Farb  Filer Authorized By: Glen Farb	Glen Farbanish
Attorney Docket Number:	98-HSX001-C5
Receipt Date:	03-NOV-2009
Filing Date:	04-SEP-2003
Time Stamp:	14:37:18
Application Type:	Utility under 35 USC 111(a)
Payment information:	1

## Payment information

Information:

Submitted wi	th Payment	no			
File Listin	g:				
Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	NPL Documents	The_Cambridge- MIT_Institute_Projects_Innova- ion_Futures_UK_3pp.pdf	129928 5655b927ds/71397d488f0b181bec9b645 2aa68	no	3
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,	NPL Documents	Schreiber_etal_NewsFutures_P Rediction_Markets.pdf	e896c5d2a7c6c852k0ef61f948a348bcc19b f1b4	no	О
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## New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

## National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

## New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.